

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective December 29, 1999

Application or Docket Number

09/520943

## CLAIMS AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	20 minus 20 =	—
INDEPENDENT CLAIMS	3 minus 3 =	—
MULTIPLE DEPENDENT CLAIM PRESENT		

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

AMENDMENT A	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	Total	20 Minus	20
Independent	3 Minus	3	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

AMENDMENT B	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	Total	29 Minus	20
Independent	1 Minus	3	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

AMENDMENT C	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	Total	102 Minus	29
Independent	3 Minus	4	= 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY  
TYPE ☒

OR  
OTHER THAN  
SMALL ENTITY

RATE	FEE	OR	RATE	FEE
	345.00			690.00
X\$ 9=			X\$18=	
X39=			X78=	
+130=			+260=	
TOTAL			TOTAL	690

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 9=			X\$18=	
X39=			X78=	
+130=			+260=	
TOTAL ADDIT. FEE			TOTAL ADDIT. FEE	

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 9=			X\$18=	162.00
X39=			X78=	
+130=			+260=	PD
TOTAL ADDIT. FEE			TOTAL ADDIT. FEE	162.00

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 9=			X\$18=	1314
X39=			X78=	88
+130=			+260=	
TOTAL ADDIT. FEE			TOTAL ADDIT. FEE	1402

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Serial No.: 09/520,943  
In reply to Office Action mailed: July 19, 2004  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial #: 09/520,943  
Applicant: Andrew E. Fano et al.  
Filed: March 8, 2000  
Title: MAKING FINANCIAL DECISIONS BY BALANCING GOALS IN A FINANCIAL  
MANAGER  
  
Group Art: 3623  
Examiner: B. Van Doran  
Docket #: AND1P525 (60021-352501)

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is  
being transmitted by facsimile to Mail Stop  
Amendment Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450, via facsimile  
number 703-872-9306 on November 19, 2004.

  
Janet Byrne

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE**

In response to the Office action mailed July 19, 2004 (hereinafter "Office Action"),  
please accept the enclosed one month extension of time and amend the above-identified  
application as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2  
of this paper.

**Remarks/Arguments** begin on page 23 of this paper.